

**UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK**

NORTHWEST BIOTHERAPEUTICS, INC.,

Plaintiff,

v.

CANACCORD GENUITY LLC, CITADEL  
SECURITIES LLC, G1 EXECUTION  
SERVICES LLC, GTS SECURITIES LLC,  
INSTINET LLC, LIME TRADING CORP.,  
SUSQUEHANNA INTERNATIONAL  
GROUP LLP, and VIRTU AMERICAS LLC,

Defendants.

Case No. 1:22-cv-10185 (GHW) (GWG)

**RULE 7.1 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Federal Rule of Civil Procedure 7.1, the undersigned counsel for Defendant Citadel Securities LLC states as follows: Citadel Securities LLC is a wholly-owned indirect subsidiary of Citadel Securities GP LLC. No publicly-held corporation has a 10% or greater ownership interest in Citadel Securities GP LLC.

Dated: December 20, 2022  
New York, New York

QUINN EMANUEL URQUHART  
& SULLIVAN, LLP

By: /s/ William A. Burck

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